



C-TPAT QUESTIONNAIRE SELF ASSESSMENT

Company Name

Respondent's Name

Respondent's Title

Date

Please complete this form and return it to JSI Shipping via fax or mail. To email a scanned copy, please send it to: yfazzino@jsilogistics.com . Thank you.

A. Participation in Customs Industry Partnership Programs

Yes No

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| 1. Is your firm participating in C-TPAT | | |
| 2. Is your firm participating in Carrier Initiative Program (CIP)? | | |
| 3. Is your firm participating in the Super Carrier Initiative Program (SCIP)? | | |
| 4. Is your firm participating in the Business Anti-Smuggling Coalition (BASC)? | | |

B. Physical Security

Yes No

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| 1. Do written Physical Security standards exist? | | |
| 2. Is the facility equipped with perimeter fencing? | | |
| 3. Is the lighting of the facility exterior adequate? | | |
| 4. Is there an intruder/burglar alarm system that is monitored 24/7? | | |
| 5. Is it connected to a monitoring center or Local Police? | | |
| 6. Is there a working CCTV system? | | |
| 7. Do employees wear identification badges? | | |
| 8. Are records kept of visitors/contractors and are they provided identification badges? | | |

C. Personnel Security

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| 1. Where permissible by law, are background checks or pre-employment screening (application verification) conducted on employees? | Yes | No |
| 2. Are employees regularly trained in Security awareness and policies? | | |
| 3. Do you have an internal Code of Conduct? | | |
| 4. Do you have a general Asset Protection Plan? | | |
| 5. Is there a process for reporting and investigation of security related incidents? | | |

D. Procedural Security

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| 1. Is the receiving/shipping area monitored by a CCTV system? | Yes | No |
| 2. Are incoming/outgoing vehicles checked and logged? | | |
| 3. Are records kept of incoming/outgoing goods? | | |
| 4. Is the loading/unloading of trucks and trailers supervised, if so, by whom? | | |
| 5. Is there a procedure for detecting and reporting shortages and overages? | | |
| 6. Are finished products properly marked, counted (weighed if necessary) and documented? | | |
| 7. Are trailers/containers sealed and or locked prior to departure? | | |
| 8. Are record of seal numbers kept and verified at arrival point? | | |
| 9. Are there any anti-pilferage measures in place (packaging/wrapping) on finished goods? | | |
| 10. Are both empty and full containers properly stored to prevent unauthorized access? | | |
| 11. Is there a procedure for notifying U.S. Customs or Law Enforcement if illegal activities are detected or suspected? | | |

E. Education and Training Awareness

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| 1. Are security awareness programs provided to employees including: recognizing internal conspiracies; maintaining cargo integrity; and determining and addressing unauthorized access? | Yes | No |
| 2. Are incentives offered to employees for active participation in preventing security breaches? | | |